

United States Patent and Trademark Office		DEWIPAT File No. 30.031.10.US	
<b>Information Disclosure Statement by Applicant</b>			Sheet 1 of 1
Application No.	10/608,305	Attorney Docket	ARC 3251 R1
Filed (yyyy-mm-dd)	2003-06-27	Customer No.	30766
Applicant	Liang-Chang DONG	Confirmation No.	8986
Examiner	Sharmila S. Gollamudi	Art Unit	1614
Title	Controlled Release Capsule for Delivery of Liquid Formulation		

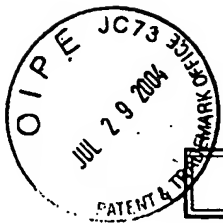
U.S. PATENTS				
Examiner Initials*	Cite No.	Patent Number	Issue Date yyyy-mm-dd	Name of Patentee or Applicant of cited Document

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Document Number	Country Code	Publication Date yyyy-mm-dd	Name of Patentee or Applicant of cited Document	T
<i>SA</i>		WO 00/35419 (CN1331589A)	CN	2000-06-22	ALZA Corporation	

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T


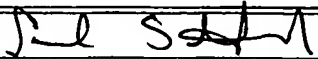
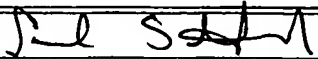
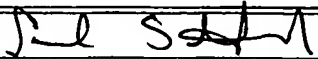
EXAMINER SIGNATURE			
EXAMINER	<i>Sharmila S. Gollamudi</i>	DATE CONSIDERED	<i>1/3/07</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

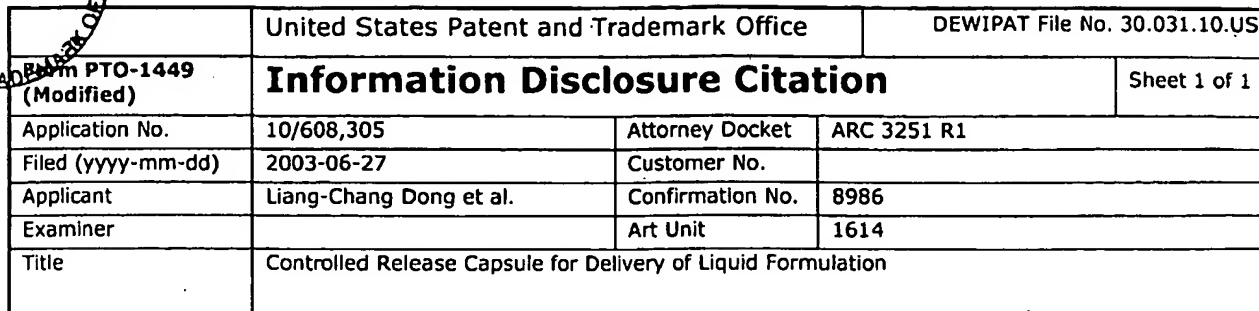


## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Controlled Release Capsule for Delivery of Liquid Formulation																																				
<p>Application Number: 10/608305 </p> <p>Confirmation Number: 8986</p> <p>First Named Applicant: Liang-Chang Dong</p> <p>Attorney Docket Number: ARC 3251R1</p> <p>Search string: ( 20020071863 or 20030232078 or 20030198619 ).pn.</p> <p><b>US Published Applications</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Published Applications</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Pub. No.</th><th>Date</th><th>Applicant</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>26</td><td>1</td><td>20020071863</td><td>2002-06-13</td><td>Dong</td><td>✓</td><td></td><td></td></tr><tr><td>26</td><td>2</td><td>20030232078</td><td>2003-12-18</td><td>Dong</td><td></td><td></td><td></td></tr><tr><td>27</td><td>3</td><td>20030198619</td><td>2003-10-23</td><td>Dong</td><td></td><td></td><td></td></tr></tbody></table> <p><b>Remarks</b></p> <p>Note: Remarks are not for responding to an office action.</p> <p>This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.</p> <p><b>Signature</b></p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td>11/3/07</td></tr></tbody></table>		init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass	26	1	20020071863	2002-06-13	Dong	✓			26	2	20030232078	2003-12-18	Dong				27	3	20030198619	2003-10-23	Dong				Examiner Name	Date		11/3/07
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Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date yyyy-mm-dd	Applicant/Patentee	T
BA	A10	WO 95/34285	1995-12-21	Alza Corporation	

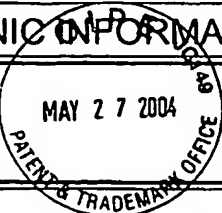
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	A11	"Cellulose Esters, Organic," <u>Encyclopedia of Polymer Science and Technology</u> , 196, pp. 325-354, Vol. 3, Interscience Publishers, Inc., NY. <b>DATE MISSING</b>	

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Application Number: 10/608305  
 Confirmation Number: 8986  
 First Named Applicant: Liang-Chang Dong  
 Attorney Docket Number: ARC 3251 R1  
 Art Unit: 1614  
 Search string: ( 6174547 or 5830502 or 5614578 or 6245357 or 4077407 or 3845770 or 3916899 or 4200098 ).pn.



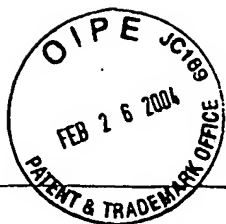
## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1a	1	6174547	2001-01-16	Dong			
	2	5830502	1998-11-03	Dong			
	3	5614578	1997-03-25	Dong			
	4	6245357	2001-06-12	Edgren			
	5	4077407	1978-03-07	Theeuwes			
	6	3845770	1974-11-05	Theeuwes			
	7	3916899	1975-11-04	Theeuwes			
4a	8	4200098	1980-04-29	Ayer			

Signature

Examiner Name	Date
	1/3/07



DEWIPAT No. 30.031.10.US  
UNITED STATES PATENT AND TRADEMARK OFFICE

<b>INFORMATION DISCLOSURE CITATION (MODIFIED FORM PTO-1449)</b>				Application Number	10/608,305
				Filing Date	June 27, 2003
				First Named Inventor	Liang C. Dong et al.
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Examiner Initials	Cite No.	Document Number	Publication Date	Applicant/Patentee	
SA	A1	US-2001/0036472 A1	11/01/2001	Wong et al.	
SA	A2	US-5,830,502	11/03/1998	Dong et al.	
SA	A3	US-5,034,229	07/23/1991	Magruder et al.	
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Examiner Initials	Cite No.	Document Number	Publication Date	Applicant/Patentee	T
SA	A5	WO 01/41742	06/14/2001	Alza Corporation	
SA	A6	WO 98/20930	05/22/1998	Alza Corporation	
SA	A7	WO 00/13674	03/16/2000	Alza Corporation	
SA	A8	WO 00/38655	07/06/2000	Alza Corporation	
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SA	A9	PCT International Search Report dated 11/05/2003 from Intl App No. PCT/US03/20422			

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